FINAL REPORT

Mutagenicity Test of C6 Methacrylate (M-1620) by using Microorganisms

August 4, 2006

UBE SCIENTIFIC ANALYSIS LABORATORY, INC.

Certificate of Translation

Title: Mutagenicity Test of C6 Methacrylate (M-1620) by using Microorganisms

Sponsor: Daikin Industries, Ltd.

Study code number: USA-R-06397

I hereby certify that this translated report accurately reflects the original final report of the above study.

Organizational assignment UBE SCIENTIFIC ANALYSIS LABORATORY, INC.

Address 1978-6, Aza-okinoyama Ooaza-kogushi, Ube-city,

Yamaguchi-pref., Japan

Name

Date December 19, 2011

GLP STATEMENT

UBE Scientific Analysis Laboratory, Inc.

Sponsor : Daikin Industries, Ltd.

Title : Mutagenicity Test of C6 Methacrylate (M-1620) by using Microorganisms

Study code number: USA-R-06397

This test was conducted according to the Joint Notification Yakusyoku No.1121003 of the Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare, H15·11·17 seikyoku No.3 of the Manufacturing Industries Bureau, Ministry of Economy, Trade and Industry, kanpoki No.031121004 of the Environmental Policy Bureau, Ministry of the Environment (November 21, 2003) and the Joint Notification Yakusyoku No.1121002 of the Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare, H15·11·13 seikyoku No.2 of the Manufacturing Industries Bureau, Ministry of Economy, Trade and Industry, kanpoki No.031121002 of the Environmental Policy Bureau, Ministry of the Environment (November 21, 2003) and the Notification of Ministry of Labour, No.76, September 1, 1988 and No.13 (revised), March 29, 2000 and the Notification of Ministry of Labour, No.77, September 1, 1988 and No.67 (revised), June 2, 1997.

I, the undersigned, hereby declare that this report provides an accurate and faithful record of the results obtained.

Quality Assurance Certificate

1. Kind of test

Mutagenicity Test by using Microorganisms (study code No. USA-R-06397)

2.Name of the test substance

C6 Methacrylate (M-1620)

I certify that the methods and procedures used in the test are described precisely in the final report, that test was precisely performed according to the protocol and standards of procedure, and that the reported results reflect the raw-data accurately.

The circumstances of audits and inspections are as follows.

Account

Date Conducted of audits or inspections	Object	Date Reported to the Study Director	Date Reported to the Administrator		
July 10, 2006	Protocol	July 10, 2006	July 10, 2006		
July 11, 2006	Circumstances of performance of the test (Test Procedure)	July 11, 2006	July 11, 2006		
July 13, 2006	Circumstances of performance of the test (Plate Observation)	July 13, 2006	July 13, 2006		
August 3, 2006	Revise of Protocol	August 3, 2006	August 3, 2006		
August 4, 2006	Final Report (draft)	August 4, 2006	August 4, 2006		
August 4, 2006	Final Report	August 4, 2006	August 4, 2006		

Individual responsible for quality assurance

Organizational assignment UBE SCIENTIFIC ANALYSIS LABORATORY, INC.

Title Quality Assurance Unit

Name

Date August 4, 2006

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SUMMARY

This study was designed to assess the mutagenic potential of C6 Methacrylate (M-1620) using a bacterial/microsome test system.

Salmonella typhimurium strains TA98, TA100, TA1535, TA1537 and Escherichia coli WP2uvrA were treated with the test material using the pre-incubation method at six dose levels, in duplicate, both with and without the addition of a rat liver homogenate metabolizing system (10% liver S9 in standard co-factors). The dose range for the dose-determination test was 4.88 to 5000 μ g/plate. The experiment was repeated on a separate day using the dose range, 156 to 5000 μ g/plate, fresh cultures of the bacterial strains and fresh test material formulations.

Neither cytotoxicity nor precipitate was observed at any dose level with or without metabolic activation.

No significant increases in the frequency of revertant colonies were recorded for any of the bacterial strains, at any dose level either with or without metabolic activation.

The test material was considered to be non-mutagenic under the conditions of this test.

1. TITLE

Mutagenicity Test of C6 Methacrylate (M-1620) by using Microorganisms

2. SPONSOR

Daikin Industries, Ltd. 1-1 Nishihitotsuya, Settsu-shi, Osaka, Japan

3. TESTING FACILITY

UBE Scientific Analysis Laboratory, Inc. 1978-6, Aza-Okinoyama, Oaza-Kogushi, Ube-shi, Yamaguchi Prefecture, Japan

4. PURPOSE OF TEST

Purpose of this test is to evaluate the mutagenicity of C6 Methacrylate (M-1620) by the microbial mutagenicity test using Salmonella typhimurium and Escherichia coli.

5. TESTING METHOD

This test was conducted according to the Joint Notification Yakusyoku No.1121002 of the Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare, H15·11·13 seikyoku No.2 of the Manufacturing Industries Bureau, Ministry of Economy, Trade and Industry, kanpoki No.031121002 of the Environmental Policy Bureau, Ministry of the Environment (November 21, 2003) and the Notification of Ministry of the Labour, No.77, September 1, 1988 and No.67 (revised), June 2, 1997.

6. GLP COMPLIANCE

This test was conducted according to the Joint Notification Yakusyoku No.1121003 of the Pharmaceutical and Food Safety Bureau, Ministry of Health, Labour and Welfare, H15·11·17 seikyoku No.3 of the Manufacturing Industries Bureau, Ministry of Economy, Trade and Industry, kanpoki No.031121004 of the Environmental Policy Bureau, Ministry of the Environment (November 21, 2003) and the Notification of Ministry of the Labour, No.76, September 1, 1988 and No.13 (revised), March 29, 2000.

7. PERIOD OF STUDY

Commencement of Study:

Initiation of Dose-determination Test:

July 10, 2006

July 11, 2006

Initiation of Mutagenicity Test:

July 14, 2006

Completion of Study:

August 4, 2006

8. ARCHIVES

The study protocol, raw data, recorded documents, final report, documents pertaining to quality assurance, test material, and other study-related documents will be retained in the archives according to the standard operation procedure of UBE Scientific Analysis Laboratory Inc. for 10 years after receiving the notification under Article 4, Section 1 or Section 2, Article 4-2, Section 2, 3 or 8, Article 5-4, Section 2, Article 24, Section 2, or Article 25-3, Section 2 of Act on the Evaluation of Chemical Substances and Regulation of Their Manufacturing, etc., or for 10 years after submission under Article 57-3, Section 1 of Industrial Safety and Health Law, whichever is longer (the specific storage period is to be decided 10 years after the study completion upon deliberation with the sponsor).

9. AUTHOR OF FINAL REPORT AND PERSONS CONCERNED WITH TEST

Study Director:	August 4, 2006
Person in charge of Storage:	
Personnel in concerned:	

10. MATERIALS AND METHODS

10.1 Test Material

Name of the new chemical substance : 3,3,4,4,5,5,6,6,7,7,8,8,8-Tridecafluorooctyl

methacrylate

Other name : C6 Methacrylate (M-1620)

Lot No. : 60428

Purity of the new chemical substance tested : 99.8%

Name and concentration of impurities : 3,3,4,4,5,5,6,6,7,7,8,8,8-Tridecafluorooctan-1-ol

0.02%

CAS No. : 2144-53-8

Molecular weight : 432.17

Boiling point : 92°C/8 mmHg

Appearance at ordinary temperature : Colorless transparent liquid

Stability : Stable at room temperature under light shielding

Degree of solubility : Water*; <50 g/L

: DMSO*; <100 g/L : Acetone*; >100 g/L

10.2 Tester Strains

Salmonella typhimurium TA100, TA1535, TA98 and TA1537 Escherichia coli WP2uvrA

All of the strains were obtained from Dr. T. Matsushima, Japan Bioassay Research Center, Japan Industrial Safety And Association, Hadano-shi, Kanagawa. All of the strains were stored at -80°C. Prior to the master strains being used, characterization checks were carried out to confirm the amino-acid requirement, presence of rfa, R factor, uvrB or uvrA mutation and the spontaneous reversion rate.

In this assay, overnight sub-cultures of the appropriate coded stock cultures were prepared in nutrient broth and incubated at 37°C for 10 hours. Each culture was monitored spectro-photometrically for turbidity determined by viable count analysis on nutrient agar plates.

10.3 Preparation of Test and Control Materials

Test Material:

The test material was not soluble in water at 50 mg/ml and in DMSO at 100 mg/ml, but was soluble in acetone at 100 mg/ml in solubility checks performed in-house. Acetone was therefore selected as the vehicle of choice. The test material was dissolved in acetone to make a stock solution of 100 mg/ml and further diluted to obtain desired concentrations.

^{*} Test result at UBE Scientific Analysis Laboratory

Positive control Materials:

A solvent treatment group was used as the vehicle control and the positive control materials were as follows:

2-(2-Furyl)-3-(5-nitro-2-furyl)acrylamide (AF-2, Wako Pure Chemical, Lot # PKE1831):

AF-2 at 0.1 µg/50 µl/plate for TA98

AF-2 at 0.01 µg/50 µl/plate for TA100 and WP2uvrA

Sodium azide (NaN₃, Wako Pure Chemical, Lot # SDP7996): 0.5 μg/50 μl/plate for TA1535

9-Aminoacridine (9-AA, MERCK, Lot # S03761): 80 µg/50 µl/plate for TA1537

In addition, 2-Aminoanthracene (2-AA, Wako Pure Chemical, Lot # KLH1058), which is non-mutagenic in the absence of metabolizing enzymes, was used in the series of plates with S9 mix at the following concentrations:

2-AA at 0.5 µg/50 µl/plate for TA98

2-AA at 1.0 µg/50 µl/plate for TA100

2-AA at 2.0 μ g/50 μ l/plate for TA1535 and TA1537

2-AA at 10 µg/50 µl/plate for WP2uvrA

10.4 Microsomal Enzyme Fraction

S9 was purchased from Oriental Yeast Co., Ltd. S9 (Lot No.06051202) was prepared on May 12, 2006 from the livers of male Sprague-Dawley rats weighing 217.5 ± 10.9 g (Mean \pm S.D.). These had each intraperitoneally injected phenobarbital (PB, 4 times 0.03-0.06 g/kg/day) and 5,6-benzoflavone (BF, 1 time 0.08 g/kg/day) prior to S9 separation. The S9 was stored at -80°C.

10.5 S9 mix and Agar

The S9 mix was prepared immediately before use using sterilized co-factors and maintained on ice for the duration of the test.

Constituents	Amount in 1 ml S9 mix
S9	0.1 ml
$MgCl_2$	8 µmol
KC1	33 μmol
Glucose-6-phosphate	5 μmol
NADPH	4 μmol
NADH	4 μmol
Na-phosphate Buffer (pH 7.4)	100 μmol

A 0.5 ml aliquot of S9 mix and 2 ml of molten, trace histidine and biotin or tryptophan supplemented, top agar were overlaid onto a sterile Vogel-Bonner Minimal agar plate in order to assess the sterility of the S9 mix. This procedure was repeated on the day of each experiment.

Top agar was prepared using 0.6% Difco Bacto agar and 0.5% sodium chloride with 10 ml of 0.5 mM histidine and 0.5 mM biotin or 0.5 mM tryptophan solution added to each 100 ml of top agar. Vogel-Bonner Minimal agar plates were purchased from Oriental Yeast Co., Ltd.

10.6 Test Procedure

10.6.1 Dose-Determination test

Six concentrations of the test material (4.88, 19.5, 78.1, 313, 1250 and 5000 μ g/plate) were assayed in duplicate for each tester strain, using the pre-incubation method.

Measured aliquots of the test material formulation, vehicle or positive control (0.05 ml) were dispensed into sets of test tubes followed by either 0.5 ml of S9 mix or phosphate buffer, 0.1 ml of one of the bacterial cultures. The contents of each test tube were incubated at 37°C for 20 min. and mixed with 2.0 ml of molten, trace histidine and biotin or tryptophan supplemented, top agar and equally distributed onto the surface of Vogel-Bonner Minimal agar plates (one tube per plate). This procedure was repeated, in duplicate, for each bacterial strain and for each concentration of test material both with and without S9 mix.

After 48 hours incubation at 37°C, all of the plates were assessed for numbers of revertant colonies using a colony analyzer CA-11S (System Science Co., Ltd.).

10.6.2 Mutagenicity test

The second experiment was performed using methodology as described for the dose-determination test, using fresh bacterial cultures, test material and control solutions. The test material dose range was between 156 and 5000 μ g/plate with or without metabolic activation.

10.7 Evaluation Criteria

The test material may be considered positive in this test system if the following criteria are met:

The test material should have induced a reproducible and dose-dependent increase in the number of revertant colonies to at least twice as many as that of the negative control.

11. RESULTS AND DISCUSSION

The individual plate counts, the mean number of revertant colonies for the test material, vehicle and positive controls both with and without metabolic activation, are presented in Appendix 1 and Appendix 2.

Neither cytotoxicity nor precipitate was observed at any dose level with or without metabolic activation in the dose-determination test and the mutagenicity test.

No significant increases in the frequency of revertant colonies were recorded for any of the bacterial strains at any dose level, either with or without metabolic activation.

The test material was considered to be non-mutagenic under the conditions of this test.

All of the positive control chemicals used in the test induced marked increases in the frequency of revertant colonies thus confirming the activity of the S9 mix and the sensitivity of the bacterial strains.

12. REFERENCES

- [1] Ames, B. N., McCann, J. and Yamasaki, E. (1975). Methods for detecting carcinogens and mutagens with the Salmonella/mammalian-microsome mutagenicity test. *Mutation Res.*, 31, 347-364.
- [2] Matsushima, T., Sawamura, M., Hara, K. and Sugimura, T. A safety substitute for polychlorinated biphenyls as an inducer of metabolic activation system. In: de Serres, F. J., Fouts., J. R., Bend, J.R. and Philpot, R. M. (Eds), (1976). *In vitro Metabolic Activation in Mutagenesis Testing*, Elsevier, Amsterdam, pp. 85-88
- [3] Maron, D. and Ames, B. N. (1983) Revised methods for the Salmonella mutagenicity test. *Mutation Res.*, **113**, 173-215.

Test Results (Dose-determination test)

Name of Test Substance: C6 Methacrylate (M-1620)

	period	From July 11, 2006 to July 13, 2006										
With(+) or	Test substance	Number of revertants (Number of colonies/plate)										
Without(-)	concentration	Base-pair substitution type							Frame-shift type			
S9 mix (µg/plate)		TA100		TA1535		WP2uvrA		TA98_		TA1537		
	Solvent control	95	(97)	11	(12)	27	(29)	15	(15)	5	(5)	
		99	41.1.51	13		31	4- 11	14		4		
	4.88	114	(112)	14	(11)	20	(21)	14	(14)	4	(5)	
		109	41.5.51	8		22	7- 11	13		5	75 1	
	19.5	85	(102)	17	(18)	25	(24)	13	(12)	8	(8)	
	<u> </u>	118	(1.00)	18	(1.5)	23	(2.2)	11	(2.0)	7	(6)	
-S9 mix	78.1	102	(108)	13	(12)	28	(32)	18	(20)	3	(6)	
		113	(1.5.5)	10	(4.5)	35	(5.5)	21	(4.4)	8	(0)	
	313	86	(100)	14	(15)	24	(22)	18	(15)	9	(9)	
		113	41.5.5	15	44.50	20	4- 45	12		9		
	1250	121	(115)	17	(17)	28	(26)	10	(12)	4	(7)	
		109		17	4	23		13	(1.5)	9	4 415	
	5000	92	(90)	14	(12)	40	(33)	18	(19)	3	(6)	
		87		10		25	42.55	20	(2.2)	9	44.45	
	Solvent control	109	(116)	13	(14)	33	(27)	20	(20)	11	(11)	
		123	(1.00)	14	(4.4)	21	(2.2)	19	(0.0)	11	41.05	
	4.88	98	(109)	14	(13)	29	(25)	25	(22)	8	(10)	
	—	120	(1.1.1)	11		21	(2.2)	19	(5.0)	11	44.00	
	19.5	112	(113)	13	(13)	28	(32)	24	(24)	13	(13)	
	—	114	(1.1.1)	13		35	(2.2)	23	(7.0)	13	(0)	
+S9 mix	78.1	106	(111)	13	(14)	23	(29)	20	(20)	7	(8)	
		116	(10.6)	15	(4.5)	35	(2.5)	20	(0.1)	8	(10)	
	313	109	(126)	13	(12)	36	(35)	23	(21)	13	(12)	
	1050	143	(100)	10	(1.5)	34		18	(0.0)	10	(1.0)	
	1250	114	(106)	10	(13)	28	(28)	26	(28)	7	(10)	
	5000	98	(107)	15	(1.4)	28	(00)	29	(10)	13	(0)	
	5000	96	(107)	15	(14)	34	(29)	18	(18)	10	(9)	
Positive	Name	118 AF-2	, 1)	12 NaN	2)	23 AF-	2	18 AF-	2	8 9-AA	3)	
control not	concentration	Ar-2	2 -	INAIN:	3	Al	-2	AI.	-2	9-AA		
		0.0	.,	0.4	_	0.0	.	0.5		00	^	
requiring	(µg/plate) Number of	0.0		0.5		0.0		0.3		80.		
S9 mix	1	672	(643)	324	(314)	249	(258)	526	(512)	344	(335)	
	colonies/plate	614	-	303		267		498		325		
Positive	Name	2-AA ⁴⁾		2-AA		2-AA		2-AA		2-AA		
control	concentration		,					0.5				
requiring	(μg/plate)	1.0		2.0		10.		0.5		2.0		
S9 mix	Number of	1287	(1255)	296	(289)	689	(709)	509	(541)	229	(238)	
	colonies/plate	1223	_	281		728		573		246		

¹⁾ AF-2:2-(2-Furyl)-3-(5-nitro-2-furyl)acrylamide

[Notes]

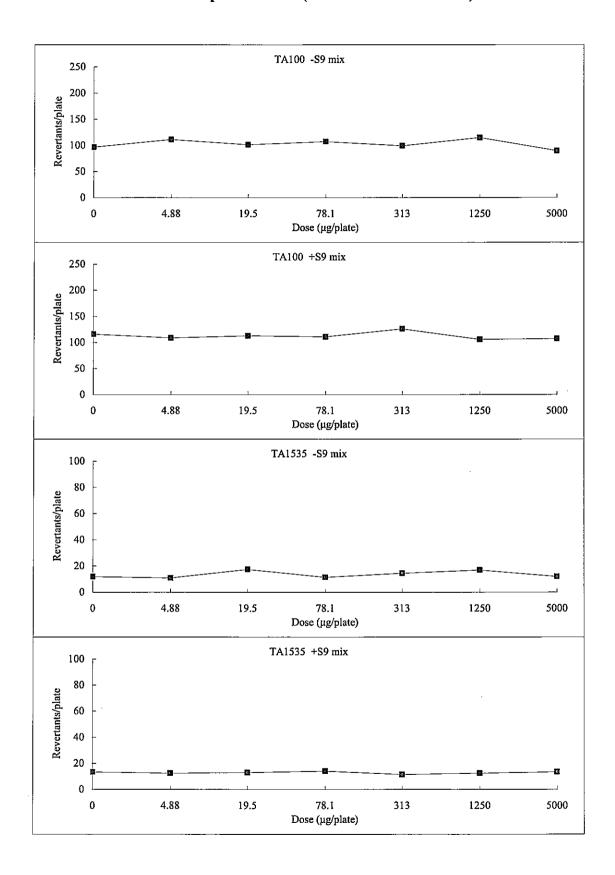
- 1. When toxicity was observed, "*" was placed to the right of the number of the revertants.
- 2. When precipitation was observed, "†" was placed to the right of the test substance concentration.
- 3. The average number of revertants in each concentration was showed in ().

²⁾ NaN₃:Sodiumazide

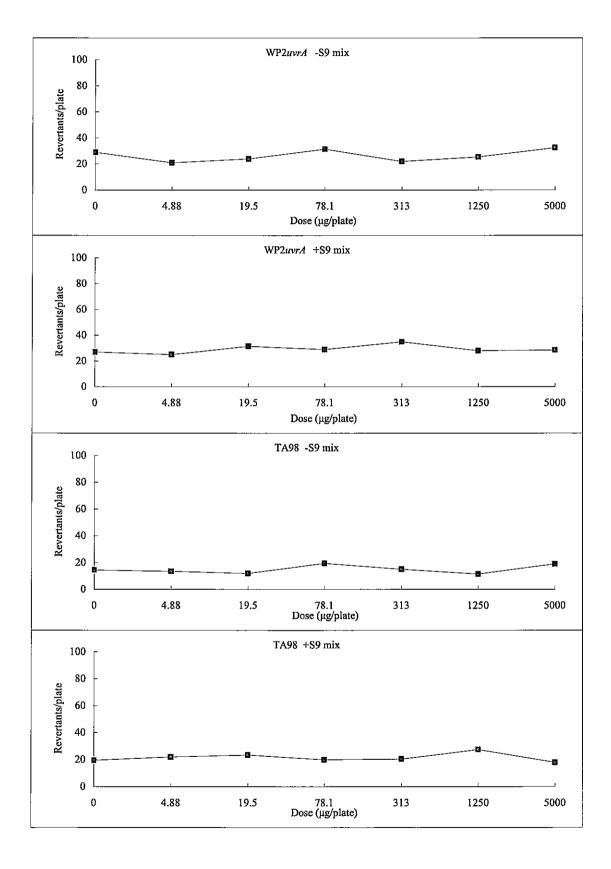
^{3) 9-}AA:9-Aminoacridine

^{4) 2-}AA: 2-Aminoanthracene

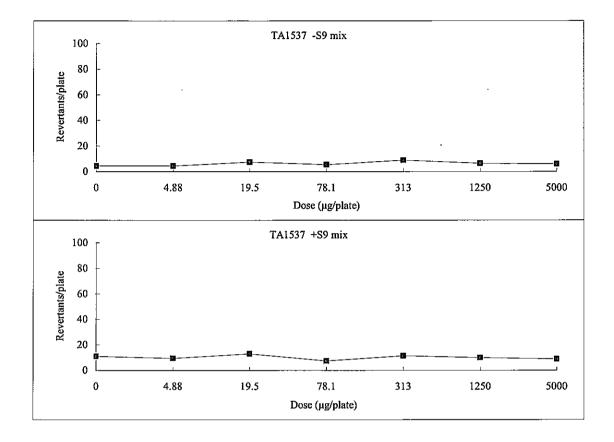
Dose-response curves (Dose-determination test)



Dose-response curves (Dose-determination test)



Dose-response curves (Dose-determination test)



Test Results (Mutagenicity test)

Name of Test Substance: C6 Methacrylate (M-1620)

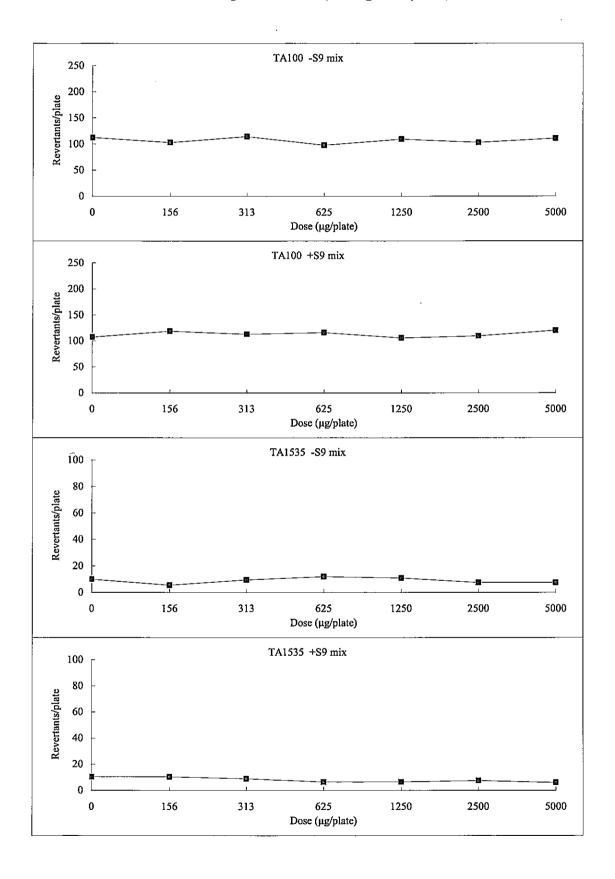
Test p	period	From July 14, 2006 to July 18, 2006									
With(+) or	Test substance	Number of revertants (Number of colonies/plate)									
Without(-)	concentration		Base	-pair subs	stitution t			Frame-shift type			
S9 mix	(µg/plate)	TAI	TA100 TA1535 WP2uvrA				ıvrA				537
	Solvent control	111	(113)	10	(10)	28	(29)	14	(17)	11	(8)
		114		10		30		19		5	
	156	103	(103)	3	(6)	20	(21)	16	(13)	7	(6)
		103		8		22		10		5	
	313	112	(115)	11	(10)	34	(35)	20	(18)	5	(8)
		117		8		35		15		10	
-S9 mix	625	105	(98)	10	(12)	29	(31)	10	(10)	8	(8)
		91		14		33	45.55	9		8	
	1250	108	(110)	9	(11)	30	(31)	10	(11)	5	(5)
	1	111	(4.0.0)	13	(5)	32	(5.4)	11	(10)	5	(0)
	2500	108	(103)	5	(8)	36	(34)	9	(12)	5	(8)
	7000	98	(1.1.1)	10	(0)	32		14	(1.4)	10	(6)
	5000	118	(111)	7	(8)	39	(36)	14	(14)	5	(6)
	 	104	(100)	8	71.15	33	(0.0)	14	(10)	7	(10)
	Solvent control	106	(108)	11	(11)	28	(29)	21	(19)	10	(12)
'	150	109	(110)	10	(1.1)	29	(20)	16	(2.5)	13	(10)
	156	130	(119)	10	(11)	41	(38)	23	(25)	7	(10)
	313	108 112	(113)	11 8	(0)	35 34	(36)	26 19	(20)	13 9	(9)
	313	114	(113)	10	(9)	3 4 37	(30)	20	(20)	8	. (9)
+S9 mix	625	108	(116)	5	(7)	32	(30)	26	(23)	8	(11)
139 1111	623	124	(110)	8	- '']	28	(30)	20	(23)	13	(11)
	1250	100	(106)	4	(7)	32	(37)	23	(22)	9	(8)
	1250	112	(100)	9	''1	41	67	20	(22)	7	(0)
}	2500	112	(110)	10	(8)	25	(31)	16	(18)	14	(13)
	2000	107	(110)	5	(*/	37	(3.7)	20	(10)	11	(20)
	5000	119	(121)	5	(6)	29	(35)	23	(21)	13	(11)
		122	(/	7	` '	41	(/	18	(/	8	(/
Positive	Name	AF-2	, 1)	NaN:	2)	AF	-2	AF-	-2	9-AA	3)
control not	concentration						i			*****	
requiring	(µg/plate)	0.01		0.5 0.01		1	0.1		80.0)	
S9 mix	Number of	683	(676)	290	(300)	273	(268)	482	(475)	274	(261)
ļ	colonies/plate	669	` 1	310	` [263	` 1	467	` 1	247	`
Positive	Name	2-AA	4)	2-A	A	2-A	A	2-A	A	2-A	A.
control							·····				
requiring	(µg/plate)	plate) 1.0 2.0		10.0		0.5		2.0			
S9 mix	Number of	1177	(1150)	262	(267)	674	(670)	493	(541)	177	(173)
	colonies/plate	1123	` 1	271	` 1	666	` 1	588	` 1	168	` ′

- 1) AF-2:2-(2-Furyl)-3-(5-nitro-2-furyl)acrylamide
- 2) NaN₃:Sodiumazide
- 3) 9-AA: 9-Aminoacridine
- 4) 2-AA: 2-Aminoanthracene

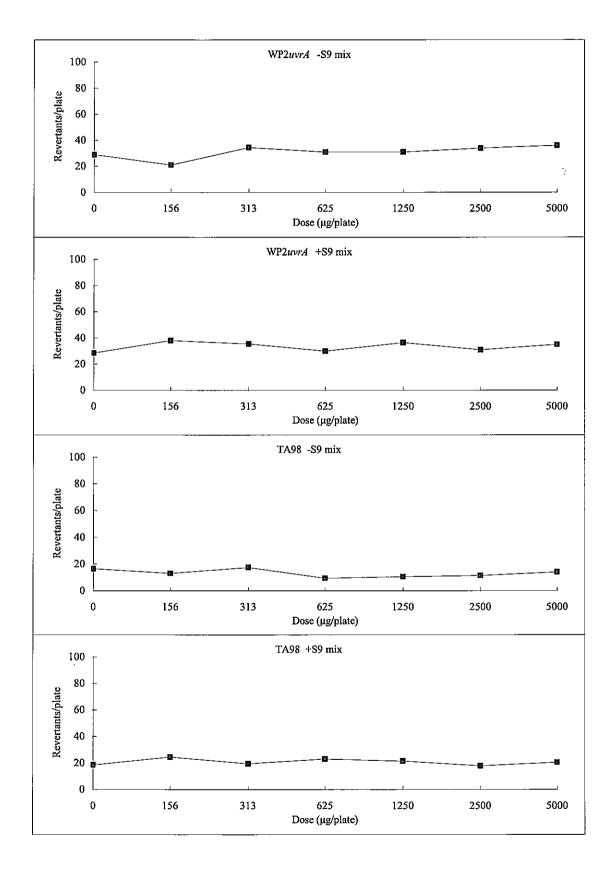
[Notes]

- 1. When toxicity was observed, "*" was placed to the right of the number of the revertants.
- 2. When precipitation was observed, "†" was placed to the right of the test substance concentration.
- 3. The average number of revertants in each concentration was showed in ().

Dose-response curves (Mutagenicity test)



Dose-response curves (Mutagenicity test)



Dose-response curves (Mutagenicity test)

